

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 163.1404USC2	Application Number: 10/614,474
	Applicant: HEI ET AL.	
	Filing Date: JULY 7, 2003	Group Art Unit: 1764
	Customer No.: 23552	

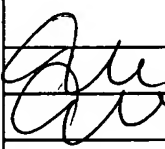


U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>gls</i>	3,011,975	12/1961	NITZSCHE ET AL.	—	—	
<i>gls</i>	3,213,024	10/19/1965	BLAKE ET AL.	—	—	
<i>gls</i>	3,664,956	05/23/1972	MESSINA ET AL.	—	—	
<i>gls</i>	3,981,812	09/21/1976	ZIETZ	—	—	
<i>gls</i>	4,062,785	12/13/1977	NIBERT	—	—	
<i>gls</i>	4,162,347	07/24/1979	MONTGOMERY	—	—	
<i>gls</i>	4,248,724	02/1981	MACINTOSH	—	—	
<i>gls</i>	4,252,528	02/24/1981	DECKER ET AL.	—	—	
<i>gls</i>	4,262,776	04/21/1981	WILSON ET AL.	—	—	
<i>gls</i>	4,324,671	04/13/1982	CHRISTIAN ET AL.	—	—	
<i>gls</i>	4,343,616	08/10/1982	DECKER ET AL.	—	—	
<i>gls</i>	4,436,200	03/13/1984	HODLEWSKI ET AL.	—	—	
<i>gls</i>	4,478,889	10/1984	MARUHASHI ET AL.	—	—	
<i>gls</i>	4,486,378	12/04/1984	HIRATA ET AL.	—	—	
<i>gls</i>	4,515,836	05/07/1985	COBBS, JR. ET AL.	—	—	

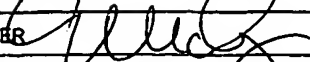
FOREIGN PATENT DOCUMENTS							
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<i>gls</i>	1157456	11/1983	CA	—	—		
<i>gls</i>	0 844 299	05/27/1998	EP	—	—		
<i>gls</i>	1564128	04/1980	GB	—	—		
<i>gls</i>	57003892	01/1982	JP	—	—	X (Abstract only)	
<i>gls</i>	06-136377	05/1994	JP	—	—	X (Abstract only)	
<i>gls</i>	10053679	08/1996	JP	—	—	X (Abstract only)	
<i>gls</i>	10059523	03/1998	JP	—	—	X (Abstract only)	

EXAMINER <i>gls</i>	DATE CONSIDERED <i>13 April 2007</i>
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	9300742	05/1993	NL	—	—	X (Abstract only)	
	WO 96/08601	03/21/1996	PCT	—	—	X (Abstract only)	
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>See</i>	7-268380	10/17/1995	JP	—	—	translation only	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

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FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

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<i>ghe</i>	4,420,578	12/13/1983	HAGENS ET AL.	—	—	
<i>ghe</i>	BI 4,478,889	07/22/1986	MARUHASHI ET AL.	—	—	
<i>ghe</i>	4,769,162	09/06/1988	REMUS	—	—	
<i>ghe</i>	5,202,037	04/13/1993	LAVELLE ET AL.	—	—	
<i>ghe</i>	5,747,431	05/05/1998	TAYLOUR ET AL.	—	—	
<i>ghe</i>	5,871,590	02/16/1999	HEI ET AL.	—	—	
<i>ghe</i>	5,925,601	07/20/1999	MCSHERRY ET AL.	—	—	
<i>ghe</i>	5,935,914	08/10/1999	THEYSSEN ET AL.	—	—	
<i>ghe</i>	5,952,601	09/14/1999	SANFORD ET AL.	—	—	
<i>ghe</i>	6,060,444	05/09/2000	SCHULZ ET AL.	—	—	
<i>ghe</i>	6,087,308	07/11/2000	BUTLER ET AL.	—	—	
<i>ghe</i>	<del>09/619,261</del>	<del>07/19/2000</del>				
<i>ghe</i>	6,495,494 B1	12/17/2002	LI ET AL.	—	—	
<i>ghe</i>	6,673,753 B2	01/06/2004	PERSON HEI ET AL.	—	—	
<i>ghe</i>	6,743,758 B2	06/01/2004	LI ET AL.	—	—	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>ghe</i>	99305796.7	Not yet Published (Published as PCT WO 01/07554)	EP	—	—		
<i>ghe</i>	07/247293	09/26/1995	JP	—	—	X	
<i>ghe</i>	07/268380	10/17/1995	JP	—	—	X	
<i>ghe</i>	WO 01/07554 A1	02/01/2001	PCT	—	—		
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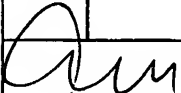
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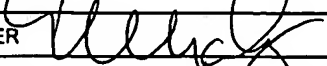
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Docket Sheet for U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No. 0:03-cv-02231, October 25, 2004
	Docket Sheet for U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, October 25, 2004
	Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No. 0:03-cv-02231 March 7, 2003
	Ecolab's Memorandum of Law in Support of Its Motion for a Preliminary Injunction, March 28, 2003
	Declaration of Tom Arata, March 28, 2003
	Declaration of David Cleveland, March 28, 2003
	Opinion Letter (Exhibit C to Item No. 6), March 25, 2003
	Ecolab Analytical & Physical Chemistry Analysis Report (Attachment B to Item No. 6-C), February 4, 2003
	Product Information Sheet for DOWANOL DPM (Attachment C to Item No. 6-C), August 2001
	Dicolube TPB (JohnsonDiversey Product Information, Attachment D to Item No. 6-C), 2002 or 2003
	DICOLUBE TPB Material Safety Data Sheet (Attachment E to Item No. 6-C), June 20, 2002
	Lubricity Properties of DPM (Attachment F to Item No. 6-C), 2003
	Dicolube System Dicolube TPB (Exhibit D to Item No. 6), 2002 or 2003
	Declaration of Amy McBroom, March 28, 2003
	Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 7), August 2001
	Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit B to Item No. 7), September 5, 2000
	Dicolube TPB (JohnsonDiversey Product Information, Exhibit C to Item No. 7), 2002 or 2003
	Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit D to Item No. 7), February 4, 2003

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	DICOLUBE TPB Material Safety Data Sheet (Exhibit B to Item No. 7), June 20, 2002
<i>Am</i>	Lubricity Properties of DPM (Exhibit F to Item No. 7), 2003
	Declaration of Rachel Zimmerman, March 28, 2003
	JohnsonDiversey Form 8-K, March 25, 2003
	Answer and Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, April 8, 2003
	JohnsonDiversey's Memorandum of Law in Opposition to Ecolab's Motion for a Preliminary Injunction, April 25, 2003
	Declaration of Tim A. Osswald, April 25, 2003
	Curriculum Vitae, Tim Andreas Osswald (Exhibit A to Item No. 11), 2003
	T. Osswald's Prior Testimony (Exhibit B to Item No. 11), 2002 or 2003
	DOWANOL DPM (Exhibit C to Item No. 11), April 13, 2003
	Dicolube TPB (JohnsonDiversey Product Information, Exhibit D to Item No. 11), 2002 or 2003
	DICOLUBE TPB Material Safety Data Sheet (Exhibit E to Item No. 11), June 20, 2002
	Declaration of Dr. Harriet Black Nemhard, April 25, 2003
	Minitab Output of Descriptive Statistics and Confidence Intervals on COF Data for Water, 67 ppm DPM, and 133 ppm DPM (Exhibit A to Item No. 12), 2003
	Declaration of Jacques Rouillard, April 25, 2003
	Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 13), August 2001
	Report for Project A-258, M. Stanga, Diversey S.p.A. (Exhibit B to Item No. 13), December 1996
	Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi (Exhibit C to Item No. 13), September 1997
<i>Am</i>	Revised List of Conveyor Lubricants Compatible with PET Containers (Exhibit D to Item No. 13), April 10, 1986

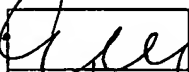
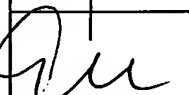
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	Testing Protocol (Exhibit F to Item No. 13), believed to be 2003
<i>ru</i>	Declaration of Keith W. Kennedy, April 24, 2003
	Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi, September 1997 (Exhibit D to Item No. 14)
	Track Treatment Workshop, Alzey, Germany (Exhibit E to Item No. 14), March 31, 1998 - April 1, 1998
	Declaration of Michael K. Lammers, April 25, 2003
	Dicolube TPB Sales (Exhibit A to Item No. 15), April, 2003
	Declaration of Christopher G. Hanewicz, April 25, 2003
	Table of Anticipatory Prior Art (Appendix A to Item No. 16), 2003
	Invalidity Analysis of Claims 4, 7, 9, 14-19, 24, 27 and 30-32 (Appendix B to Item No. 16), 2003
	Ecolab's Reply Memorandum of Law in Support of Its Motion for a Preliminary Injunction, May 5, 2003
	Reply Declaration of Tom Arata, May 2, 2003
	Second Declaration of David R. Cleveland, May 4, 2003
	Reply Declaration of Thomas J. Hairston, Ph.D., May 2, 2003
	Curriculum Vitae, Thomas J. Hairston, Ph.D. (Exhibit E to Item No. 20), 2003
	Reply Declaration of Amy McBroom, May 2, 2003
	Declaration of Mario Stanga, May 9, 2003
	Graph I BIS ((Exhibit I to Item No. 22), September 1997
	Declaration of Mark Kassel, May 15, 2003
	Curriculum Vitae, Mark A. Kassel (Exhibit A to Item No. 23)
	Claim Chart (Exhibit B to Item No. 23), 2003
<i>an</i>	1520 Silicone Antifoam Brochure, Dow Corning Webpage (Exhibit E to Item No. 23), May 2003

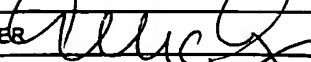
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	Third Declaration of David R. Cleveland, May 23, 2003
	Memorandum, Opinion and Order, U.S. District Court, District of Minnesota, <u>Ecolab Inc. v. JohnsonDiversey Inc.</u> , Case No. 0:03-cv-02231, May 29, 2003
	Ecolab's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, August 11, 2003
	JohnsonDiversey's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, September 22, 2003
	Ecolab's Reply Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, October 23, 2003
	Federal Circuit Opinion, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, April 6, 2004
	Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, June 10, 2004
	JohnsonDiversey's Answer and Counterclaim to Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, July 2, 2004
	Ecolab's Reply to JohnsonDiversey's Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey Inc.</u> , Case No.: 0:03-cv-02231, August 11, 2004

**23552**

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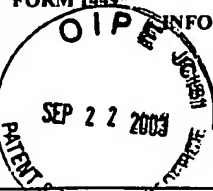
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EXAMINER <i>W. H. C.</i>	DATE CONSIDERED <i>13 April 2007</i>
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	3,853,607	12/10/1974	Iyengar et al.	—	—	
	4,065,590	12/27/1977	Salensky	—	—	
	4,069,933	01/24/1978	Newing	—	—	
	4,105,716	08/08/1978	Sakai et al.	—	—	
	4,149,624	04/17/1979	Douty et al.	—	—	
	4,264,650	04/28/1981	Schulze et al.	—	—	
	4,274,973	06/23/1981	Stanton et al.	—	—	
	4,289,671	09/15/1981	Hernandez	—	—	
	4,478,889	10/23/1984	Maruhashi et al.	—	—	
	4,486,378	12/04/1984	Hirata et al.	—	—	
	4,515,836	05/07/1985	Cobbs, Jr. et al.	—	—	
	4,525,377	06/25/1985	Nickel et al.	—	—	
	4,534,995	08/13/1985	Pocock et al.	—	—	
	4,538,542	09/03/1985	Kenyon et al.	—	—	
	4,543,909	10/01/1985	Sharpless	—	—	
	4,555,543	11/26/1985	Effenberger et al.	—	—	
	4,569,869	02/11/1986	Kushida et al.	—	—	
	4,573,429	03/04/1986	Cobbs, Jr. et al.	—	—	
	4 604 220	5 Aug. 1986	STANTON	—	—	
	4,632,053	12/30/1986	Villanueva et al.	—	—	
	4,690,299	09/01/1987	Cannon	—	—	
	4,699,809	10/13/1987	Maruhashi et al.	—	—	
	4,713,266	12/15/1987	Hasegawa et al.	—	—	
	4,714,580	12/22/1987	Maruhashi et al.	—	—	
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	4,828,727	05/09/1989	McAninch	—	—	

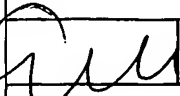
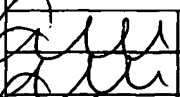


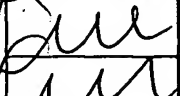
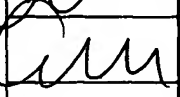
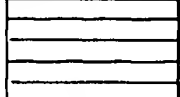
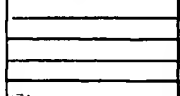
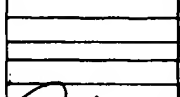
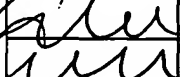
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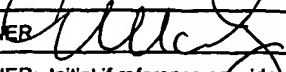
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER <i>Glu</i>	DATE CONSIDERED <i>13 April 2007</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 163.1404USC2	Application Number: 10/614,474
	Applicant: HEI ET AL.	
	Filing Date: JULY 7, 2003	Group Art Unit: UNKNOWN
	Customer No.: 23552	

<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)	
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	Copy of European Search Report dated July 17, 2003

EXAMINER 	DATE CONSIDERED 13 April 2007
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<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 163.1404USC2	Application Number: 10/614,474
	Applicant: HEI ET AL.	
	Filing Date: JULY 7, 2003	Group Art Unit: UNKNOWN
	Customer No.: 23552	

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EXAMINER <i>Heck</i>	DATE CONSIDERED <i>13 April 2007</i>
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